

International Conference on Functional Programming 2017  
Oxford, UK

Imperative Functional Programs that Explain their Work

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```
map (fun x => if x >= 0  
              then (x, "positive")  
              else (x, "non-positive"))
```

[-1, 0, 1]



eval

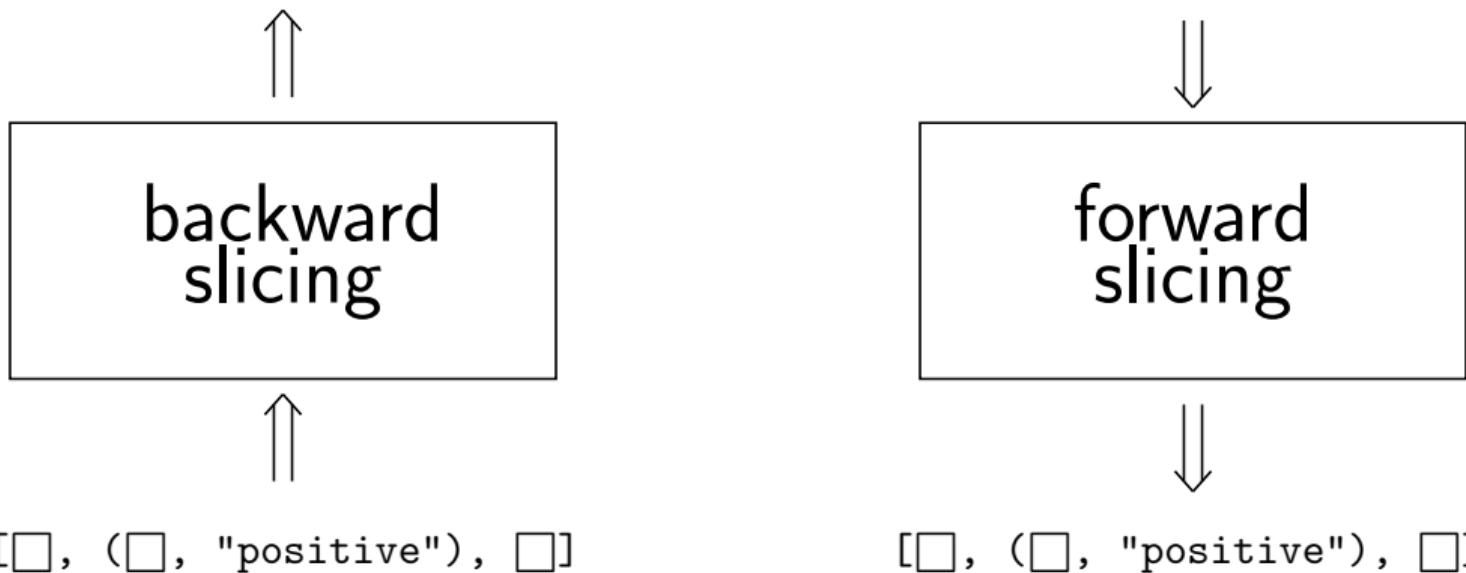


[(-1, "non-positive"), (0, "positive"), (1, "positive")]

# Debugger

# Slicing

```
map (fun x => if x >= 0  
           then (□, "positive")  
           else □)  
     [□, 0, □]
```



# Imperative Transparent ML

TML is a simple, purely functional, ML-like language:

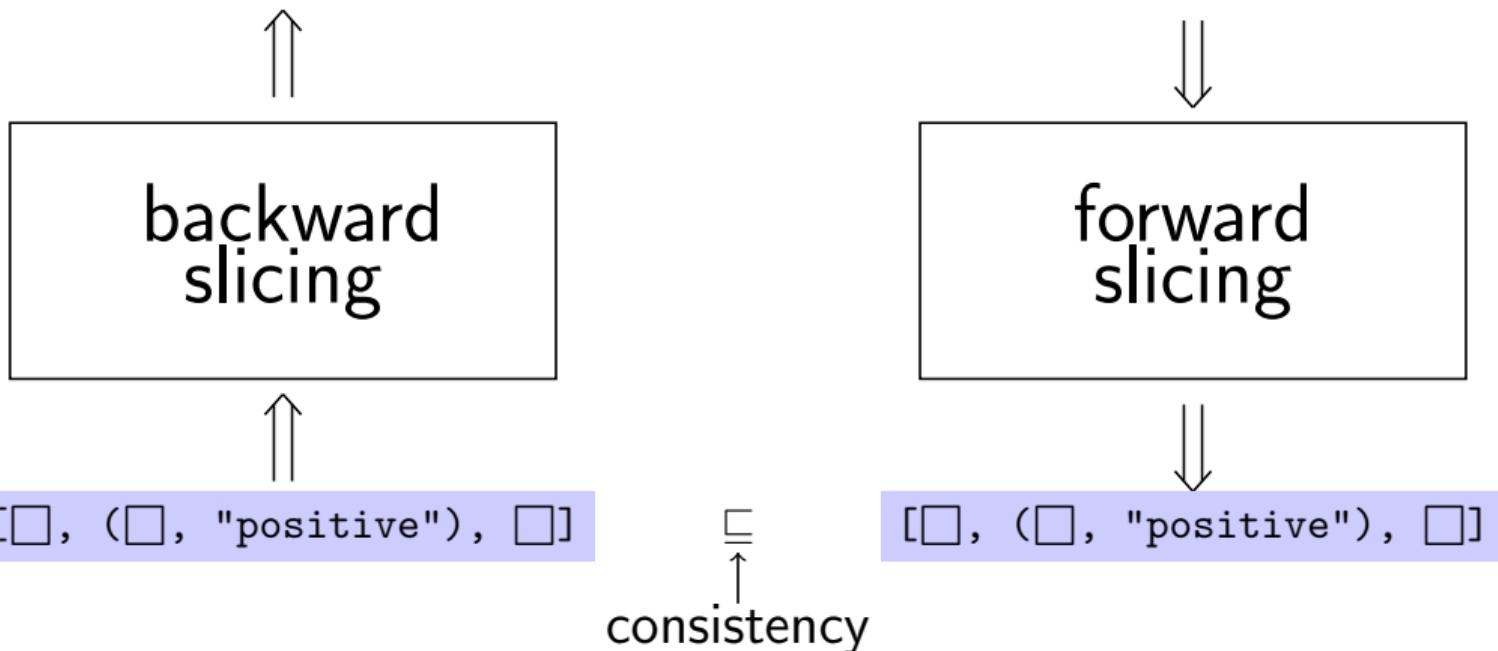
- sums
- products
- higher-order functions

We created iTML that adds:

- references
- loops, arrays
- exceptions

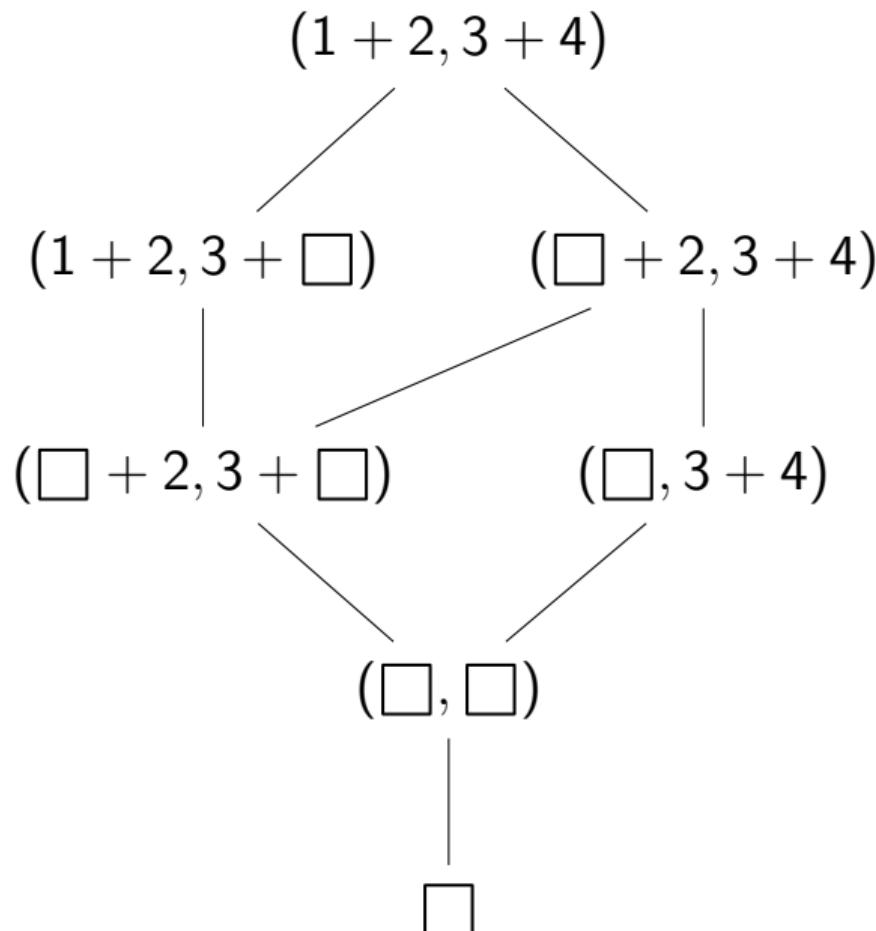
```
let a = ref 1 in  
let b = ref 2 in  
map (fun c -> b := !b - 1 ; 1 / !c)  
[a,b]
```

```
map (fun x => if x >= 0  
           then (□, "positive")  
           else □)  
     [□, 0, □]
```

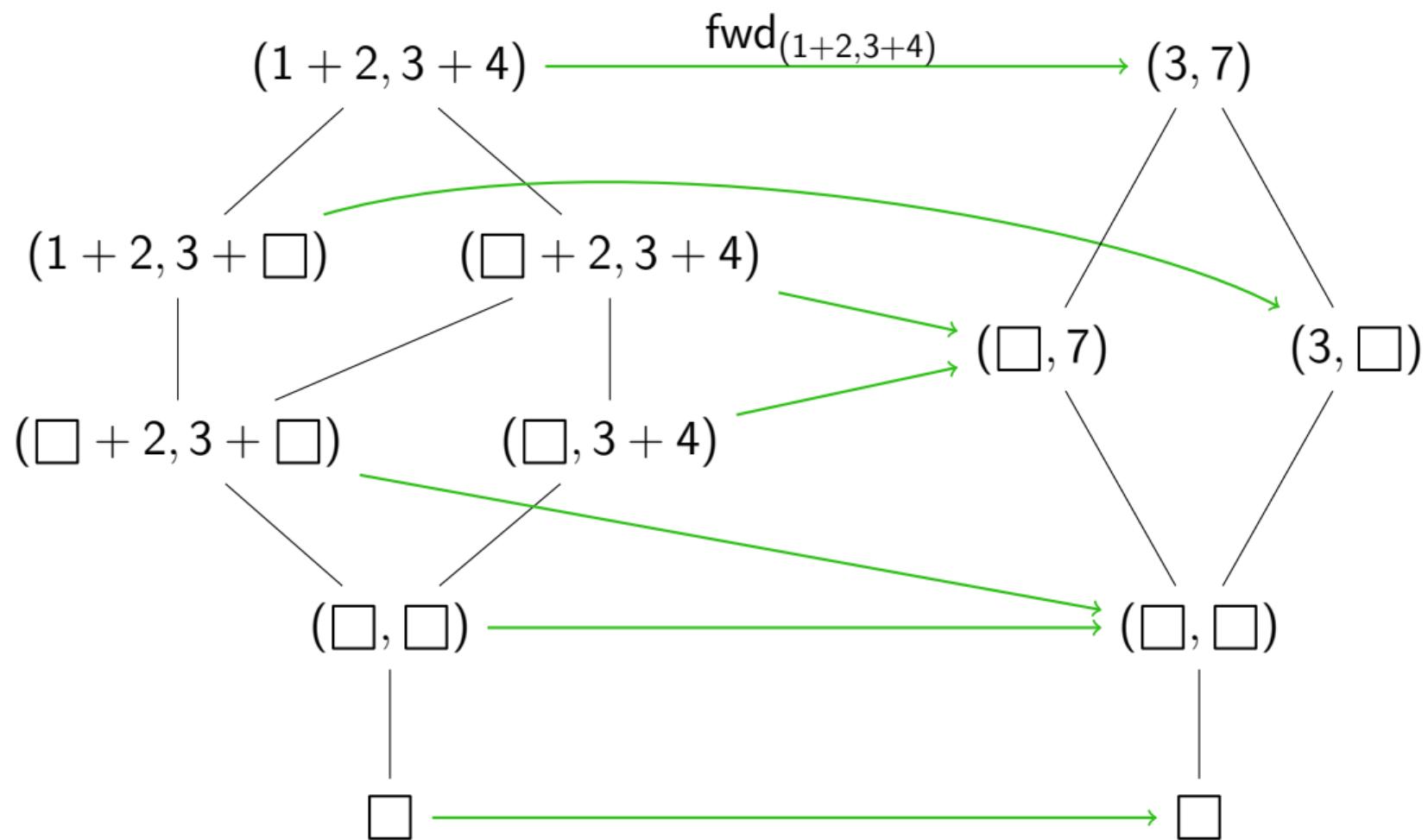


Minimality:

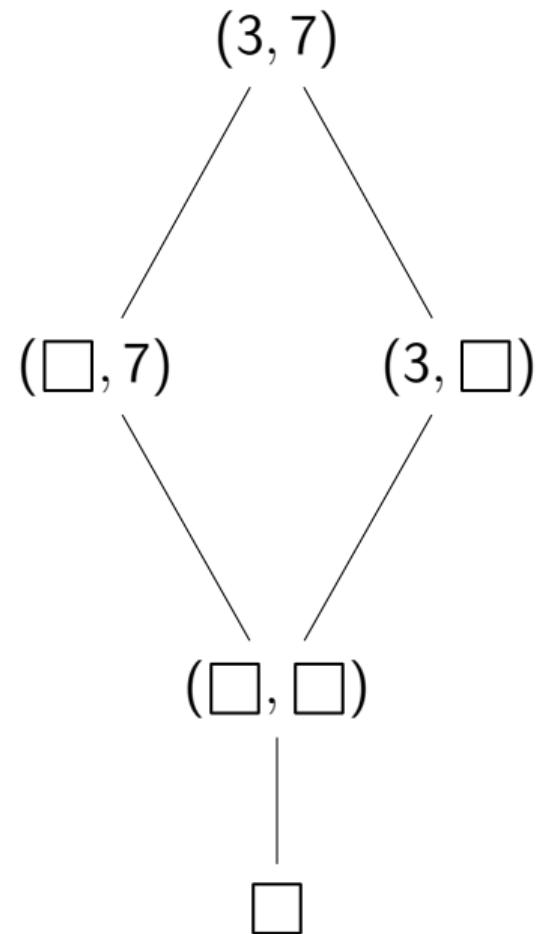
$$\text{bwd}_e(v') = \bigcap \{ e' \mid v' \sqsubseteq \text{fwd}_e(e') \}$$



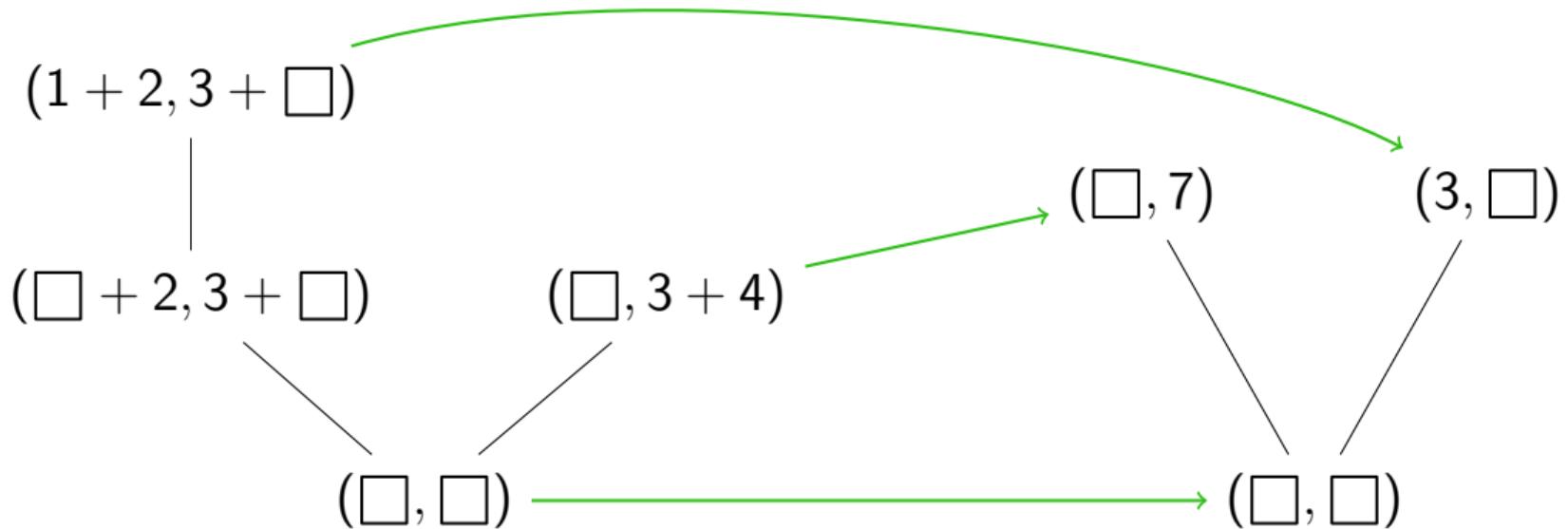
Partial  
expressions  
form a  
*finite*  
*lattice*



Partial  
values form  
a *finite*  
*lattice*

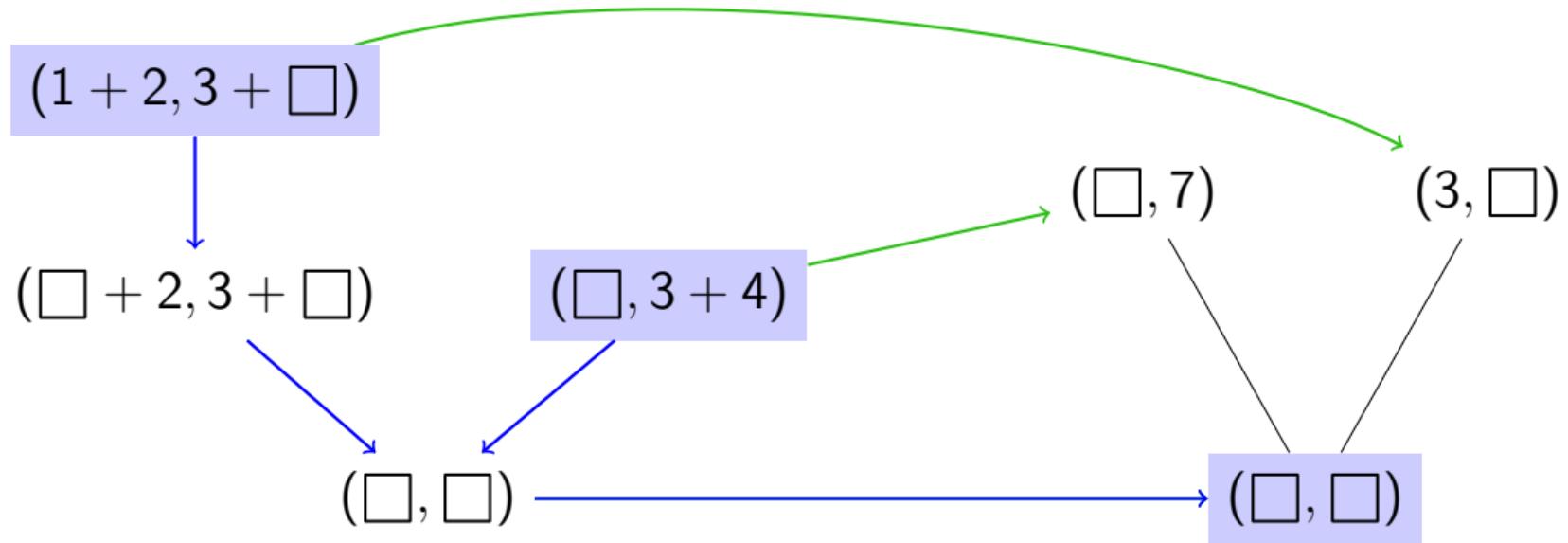


Forward slicing preserves meets



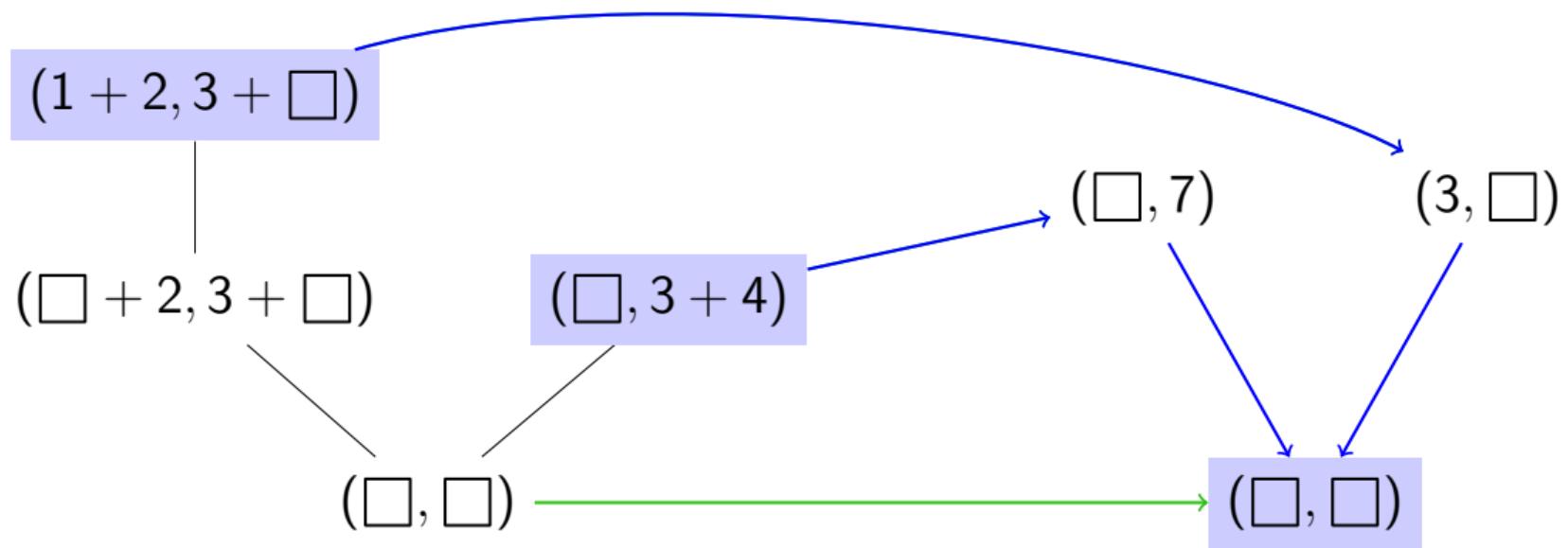
$$fwd(x \sqcap y) = fwd(x) \sqcap fwd(y)$$

Forward slicing preserves meets



$$fwd(x \sqcap y) = fwd(x) \sqcap fwd(y)$$

Forward slicing preserves meets



$$fwd(x \sqcap y) = fwd(x) \sqcap fwd(y)$$

We know:

- partial expressions and partial values form finite lattices
- forward slicing is meet-preserving
- backward slicing should be consistent and minimal

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### Corollary (1)

*There exists a backward slicing function  $bwd$  such that  $bwd \dashv fwd$  form a Galois connection.*

$$(P, \sqsubseteq_P), \quad (Q, \sqsubseteq_Q), \quad f : P \rightarrow Q, \quad g : Q \rightarrow P$$

$f$  and  $g$  form a Galois connection (written  $f \dashv g$ ) when

$$f(p) \sqsubseteq_Q q \iff p \sqsubseteq_Q g(q)$$

We know:

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### Corollary (1)

*There exists a backward slicing function  $bwd$  such that  $bwd \dashv fwd$  form a Galois connection.*

$$bwd : values_{\square} \rightarrow expressions_{\square}, \quad fwd : expressions_{\square} \rightarrow values_{\square}$$

$bwd$  and  $fwd$  form a Galois connection (written  $bwd \dashv fwd$ ) when

$$bwd(\text{slicing criterion}) \sqsubseteq \text{expr}_{\square} \iff \text{slicing criterion} \sqsubseteq fwd(\text{expr}_{\square})$$

We know:

- partial expressions and partial values form finite lattices
- forward slicing is meet-preserving
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### Corollary (1)

*There exists a backward slicing function  $bwd$  such that  $bwd \dashv fwd$  form a Galois connection.*

### Corollary (2)

*If  $bwd \dashv fwd$  form a Galois connection then  $bwd$  is consistent and minimal w.r.t.  $fwd$ .*

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*There exists a backward slicing function  $bwd$  such that  $bwd \dashv fwd$  form a Galois connection.*

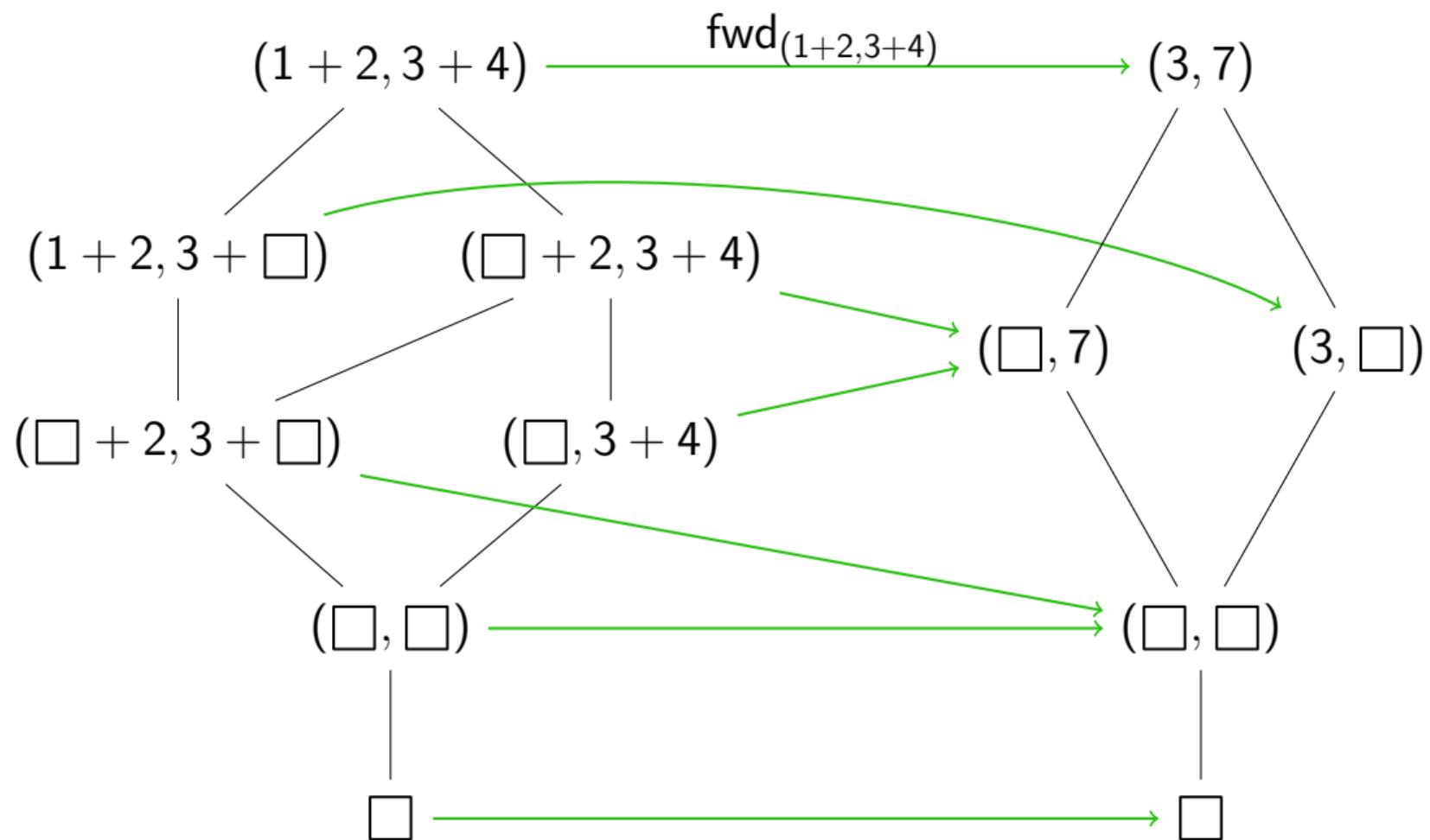
### Corollary (2)

*If  $bwd \dashv fwd$  form a Galois connection then  $bwd$  is consistent and minimal w.r.t.  $fwd$ .*

### Corollary (3)

*Choice of  $fwd$  determines  $bwd$ .*

See paper for details and proofs.



$$\mu = [l_1 \mapsto 1, l_2 \mapsto 2]$$

```
l1 := 0; (!l1, !l2)
```

$$\mu = [l_1 \mapsto 1, l_2 \mapsto 2]$$

l1 := 0; (!l1, !l2)  (0, 2)

$$\mu = [l_1 \mapsto 1, l_2 \mapsto 2]$$

□; (!l1, !l2)

$$\mu = [l_1 \mapsto 1, l_2 \mapsto 2]$$

□; (!l1, !l2)  (1, 2)

$$\mu = [l_1 \mapsto \square, l_2 \mapsto \square]$$

$$\square; (!l1, !l2) \xrightarrow{\hspace{1cm}} (1, 2)$$

$$\mu = [l_1 \mapsto \square, l_2 \mapsto \square]$$

$$\square; (!l1, !l2) \xrightarrow{\hspace{1cm}} (\square, \square)$$

$$\mu = [l_1 \mapsto \square, l_2 \mapsto 2]$$

$$\square; (!l1, !l2) \xrightarrow{\hspace{1cm}} (\square, 2)$$

```
raise "foo"; ()
```

```
raise "foo"; () → exn "foo"
```

$\square$ ; ()  ?

$\square; () \xrightarrow{\text{exn}} \text{exn } \square$

## Evaluation

$$T :: \rho, \mu_1, e \Rightarrow \mu_2, R$$

## Annotated holes



## Backward slicing

$$\mu'_2, R', T \searrow \rho', \mu'_1, e', T'$$

$$\mu'_2 \sqsubseteq \mu_2, R' \sqsubseteq R$$

$$\mu'_1 \sqsubseteq \mu_1, \rho' \sqsubseteq \rho, e' \sqsubseteq e, T' \sqsubseteq T$$

## Forward slicing

$$\rho', \mu'_1, e', T' \nearrow \mu''_2, R''$$

$$\mu'_2 \sqsubseteq \mu''_2 \sqsubseteq \mu_2, R' \sqsubseteq R'' \sqsubseteq R$$

$$\mu = [l_1 \mapsto 1, l_2 \mapsto 2]$$

$$\square_{\{l_1\}}^{val}; \ (\mathbf{!}l1, \ \mathbf{!}l2) \xrightarrow{\hspace{1cm}} (\square, \ 2)$$

$\square_{\{\}}^{exn}; \quad () \xrightarrow{\hspace{10cm}} exn \quad \square$

## Summary

We have developed a slicing framework based on Galois connections that handles functional programs with references and exceptions.

More in the paper:

- rules for forward and backward slicing
- proofs
- more examples
- implementation

Implementation available at:

<https://github.com/jstolarek/slicer>

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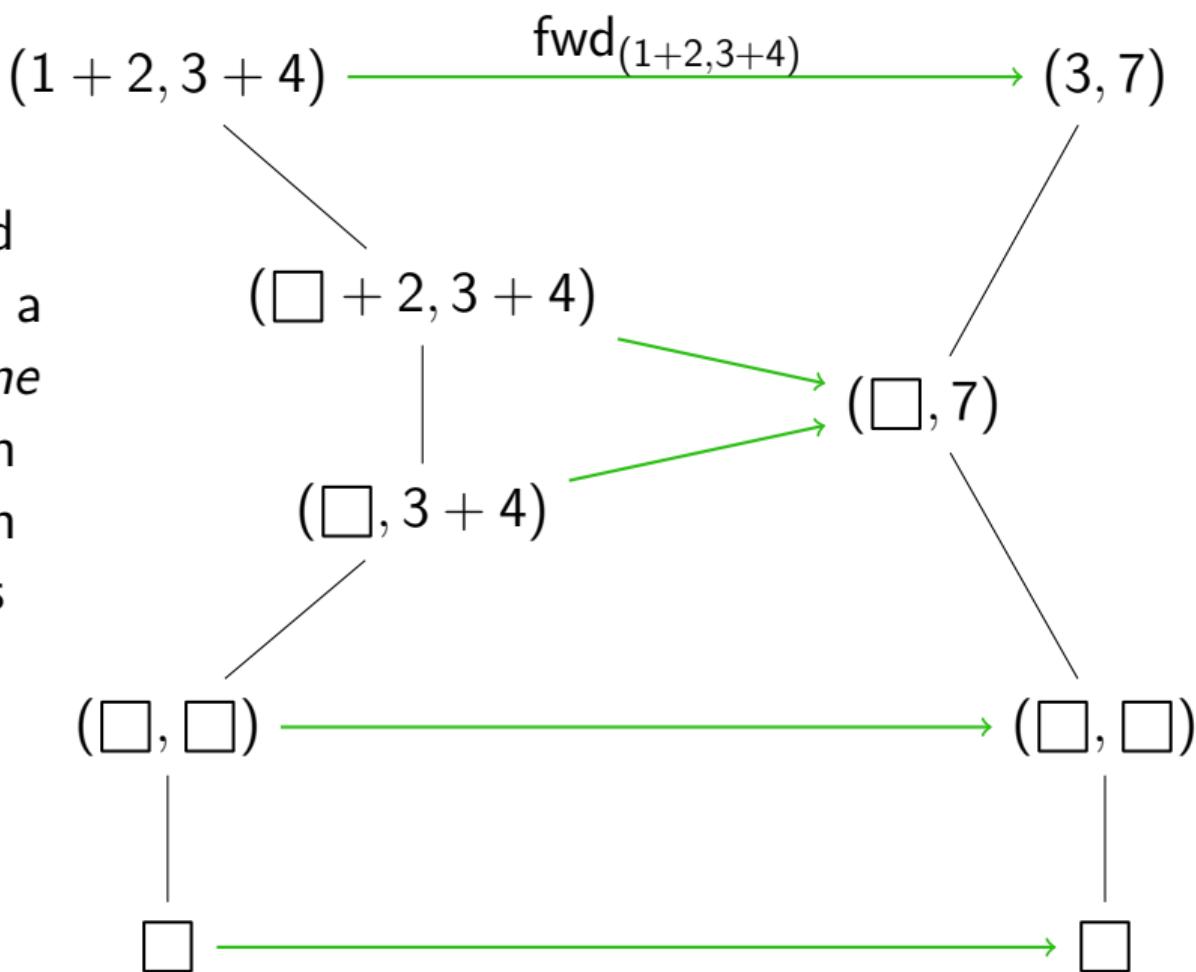
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Forward  
slicing is a  
*monotone*  
function  
between  
lattices



$$\frac{T_1 :: \rho, \mu, e_1 \Rightarrow \mu', \text{exn } v \quad T_2 :: \rho[x \mapsto v], \mu', e_2 \Rightarrow \mu'', R}{try_F(T_1, x. T_2) :: \rho, \mu, \text{try } e_1 \text{ with } x \rightarrow e_2 \Rightarrow \mu'', R}$$

$$\frac{\mathcal{L} = \text{writes}(T) \quad \mu[\ell \mapsto \square \mid \ell \in \mathcal{L}] = \mu}{\mu, k\square, T \searrow \square, \mu, \square, \square_{\mathcal{L}}^k}$$

$$\overline{\rho, \mu, e, \Box_{\mathcal{L}}^k \nearrow \mu[\ell \mapsto \Box \mid \ell \in \mathcal{L}], k \Box}$$